## National Core Indicators<sup>®</sup> 2018-19 In-Person Survey (IPS) Report

# Self-Direction

## People participate in directing their own supports and services.

### What to know about the presentation of Self-Direction data:



#### Collapsed data

Here's what <u>collapsed</u> data are

This chapter **does not** include charts due to low response rates and multiple state who do not have people using a self-directed option in their sample



#### State and weighted NCI averages

The number of respondents (N) is not weighted Here's what <u>weighting</u> data means for comparisons



#### DATA CAUTION!

Many states' have very low rates of individuals who were surveyed and were reported to use a self-directed supports option

Read about what this means here

## **Self-Determination**

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## Where do these data come from?

One item – percentage of people reported to use a selfdirected supports option – is drawn from administrative sources; these instances are noted in the table titles.

Other items are from the face-to-face survey. These items come directly from the person receiving services, or from a "proxy" respondent. A proxy respondent is someone who knows the person well (e.g., a family member), proxy respondents may not be a case manager/service coordinator. Items that allow for proxy respondents are noted in the table title.

Read more about why we allow proxy respondents for select questions in the IPS in the Overview chapter of this report.

Items in this chapter are a part of the NCI Individual Outcomes domain (or topic area) and comprise the "Self-Direction" sub-domain (a specific area of concern within the domain). The Self-Direction sub-domain includes items that help determine whether "people participate in directing their own supports and services". Read more about NCI domains and subdomains in the Overview chapter of this report.

## What are "collapsed" data?

Many questions in the IPS allow respondents to answer a question with "middle" response like "maybe", "sometimes" or "in-between". The tables in this section present collapsed data. That means that two or more response options are grouped together if they are considered to reflect a positive outcome. The table titles explain which options were grouped.

To see the breakout of responses for each option, please see Appendix C.

# What is weighting and why do we do it?

The NCI averages are "weighted" to reflect the states' relative population and sample sizes. We created the weights using the state's number of valid surveys and its total survey-eligible population. This way, a state that provides services to a larger number of people but uses a sample similar in size to other states has a greater influence on the overall NCI average (that is, its contribution is *proportional to its service population*).

Some states—in this survey year, **IN, MN, TX and WI** intentionally oversampled one or more subpopulations or geographical regions to get more focused insights, so their weights are calculated slightly differently (using the number of completed surveys and service population sizes in each subpopulation or region). You can read more about this in the Methodology section of the Overview chapter.

The number of respondents (N) for each question *is not* weighted.

## DATA CAUTION!

Several states had large amounts of missing data or data recorded as "don't know." Where 25% or more of a state's sample had "don't know" or missing responses, we used an asterisk (\*) to indicate that state's data should be interpreted with caution as it may not accurately represent the sample or service population.

**Note.** For those surveyed from RI's SDS service delivery model, there are no administrative records available from which to pull much of the background information. Therefore, the RI data from the background information section may not be representative of this population.

## Presentation of state and weighted NCI averages

#### Averages

This chapter **does not** include charts due to low response rates and multiple state who do not have people using a self-directed option in their sample. State outcomes are shown in alphabetical order and are not tested for significance.

Tables that show significance also break out average results for each state by the type of residence: ICF/IID or other institutional settings, group residence, own home, parent/relative's home, foster or host home. Figure A on the following page shows, by state, the percentage of people surveyed in each residence type. Please note though that:

- Significance testing was not performed on the breakouts by residence
- The number of people in each residential setting is often too small to allow for valid state-to-state comparisons

 And in many cases, statistically valid conclusions cannot be drawn about differences in results between residence types

Still, we provide this information for states' internal purposes. It should not be used to compare one state with another. To protect people's privacy, we do not show the data when fewer than 20 people from a state or residence type responded to a question (though their responses are included in the NCI average).

State	ICF/IID. Nursing Facility and Other Institutional Settings	Community- based Group Residential Settings	Own Home or Apartment	Parent's or Relative's Home	Foster Care or Host Home	N
AL	0%	62%	5%	33%	0%	377
AZ	1%	18%	6%	67%	8%	411
AR	0%	19%	39%	39%	4%	505
CO	0%	16%	17%	45%	22%	649
СТ	6%	41%	16%	34%	4%	384
DE	0%	58%	7%	28%	6%	322
FL	0%	30%	18%	51%	1%	867
GA	0%	28%	12%	53%	6%	474
HI	0%	12%	4%	57%	27%	360
IN	0%	0%	34%	64%	2%	742
KS	0%	45%	24%	26%	5%	401
KY	6%	36%	8%	35%	15%	428
ME	2%	31%	22%	22%	23%	392
MI	0%	45%	22%	28%	4%	646
MN	7%	50%	11%	28%	4%	2,061
MO	1%	16%	47%	32%	4%	401
NE	8%	36%	21%	24%	11%	396
NV	0%	59%	15%	22%	4%	408
NH	0%	13%	11%	39%	38%	397
NJ	0%	34%	12%	54%	0%	511
NY	13%	85%	0%	0%	2%	448
NC	14%	20%	7%	54%	6%	627
OH	4%	19%	27%	48%	1%	719
OK	0%	47%	23%	29%	2%	400
OR	0%	28%	20%	34%	19%	420
PA	8%	30%	16%	43%	4%	670
RI	0%	35%	17%	36%	12%	319
SC	2%	37%	16%	45%	0%	483
SD	0%	48%	40%	11%	1%	348
TN	3%	33%	33%	24%	8%	402
ТХ	17%	25%	5%	33%	20%	2,338
UT	7%	32%	11%	42%	9%	369
VT	0%	9%	12%	31%	48%	342
VA	2%	46%	7%	37%	8%	805
WA	0%	14%	34%	47%	5%	438
WI	2%	21%	33%	39%	5%	860
WY	0%	21%	39%	36%	4%	291
Weighted Total	4%	32%	18%	38%	7%	21,411

Figure A. Percentage of people surveyed in each residence type by state

Table 1. Using a self-directed supports option ('yes' responses only; information may have been obtained through state records)

#### Significantly Above Weighted NCI Average

State	Average Within State	Ν	ICF/IID. Nursing Facility and Other Institutional Settings	Community-based Group Residential Settings	Own Home or Apartment	Parent's or Relative's Home	Foster Care or Host Home
OR	49%	420	n/a	0%	82%	98%	0%
WI	36%	959	n/a	9%	33%	58%	40%
VT	35%	346	n/a	13%	10%	47%	38%
NJ	25%	502	n/a	5%	17%	40%	n/a
UT	25%	369	n/a	0%	15%	57%	0%
NH	23%	395	n/a	2%	30%	48%	1%
KY	23%	417	0%	2%	22%	56%	3%
KS	22%	391	n/a	1%	12%	71%	n/a
MI	16%	632	n/a	2%	24%	34%	4%
ТХ	10%	2,178	13%	7%	17%	14%	3%

#### Within Weighted NCI Average

State	Average Within State	Ν	ICF/IID. Nursing Facility and Other Institutional Settings	Community-based Group Residential Settings	Own Home or Apartment	Parent's or Relative's Home	Foster Care or Host Home
н	16%	363	n/a	2%	n/a	26%	1%
СТ	15%	362	5%	1%	41%	24%	n/a
VA	15%	774	n/a	6%	12%	31%	3%
RI	14%	352	n/a	0%	8%	8%	3%
Weighted NCI Average	12%	18,263	3%	2%	14%	22%	6%
NE	11%	413	0%	4%	11%	24%	14%
MO	10%	403	n/a	0%	5%	23%	n/a
PA	10%	667	0%	6%	5%	17%	4%
WY	10%	339	n/a	0%	8%	21%	n/a

#### Significantly Below Weighted NCI Average

State	Average Within State	Ν	ICF/IID. Nursing Facility and Other Institutional Settings	Community-based Group Residential Settings	Own Home or Apartment	Parent's or Relative's Home	Foster Care or Host Home
FL	8%	867	n/a	0%	5%	14%	n/a
TN	7%	392	n/a	0%	2%	27%	0%
MN	7%	2,140	0%	0%	4%	21%	1%
SD	6%	340	n/a	0%	9%	26%	n/a
AZ	6%	403	n/a	8%	0%	6%	6%
SC	2%	461	n/a	5%	1%	0%	n/a
ОН	2%	682	0%	3%	2%	2%	n/a
WA	2%	362	n/a	2%	3%	2%	n/a
NC	2%	635	0%	1%	8%	2%	0%
ОК	2%	400	n/a	0%	1%	4%	n/a
GA	1%	474	n/a	0%	2%	2%	0%
NY	1%	448	0%	1%	n/a	n/a	n/a
AL	0%	377	n/a	0%	n/a	1%	n/a

Table 2. People who make decisions or have input in making decisions for how budget for services is used (among those using a self-directed supports option; proxy respondents were allowed for this question)

State	Individual	Individual and Family or Friends	Family Member or Friends	Case Manager or Other State Employee	N
AZ	0%	30%	30%	40%	20
СТ	7%	41%	41%	10%	41
FL	4%	31%	64%	0%	70
HI	6%	31%	57%	6%	51
KS	4%	28%	68%	0%	57
KY	0%	25%	72%	3%	72
MI	6%	39%	33%	23%	88
MN	2%	27%	66%	5%	127
MO	5%	13%	80%	3%	40
NE	3%	36%	56%	6%	36
NH	0%	39%	56%	5%	85
NJ	3%	35%	55%	7%	121
OR	12%	28%	42%	18%	148
PA	4%	33%	59%	4%	27
RI	4%	38%	54%	4%	50
ТХ	10%	17%	38%	35%	326
UT	1%	14%	81%	3%	90
VT	0%	22%	38%	41%	106
WI	12%	22%	56%	10%	424
WY	13%	39%	45%	3%	31
Weighted NCI Average	7%	27%	52%	13%	2,071

Table 3. Hires or manages staff (among those using a self-directed supports option; 'yes' responses only; proxy respondents were allowed for this question)

State	Average Within State	Ν	ICF/IID. Nursing Facility and Other Institutional Settings	Community-based Group Residential Settings	Own Home or Apartment	Parent's or Relative's Home	Foster Care or Host Home
СТ	79%	28	n/a	n/a	n/a	n/a	n/a
FL	95%	58	n/a	n/a	n/a	94%	n/a
н	86%	37	n/a	n/a	n/a	85%	n/a
KS	94%	31	n/a	n/a	n/a	92%	n/a
MI	72%	54	n/a	n/a	n/a	83%	n/a
MN	69%	68	n/a	n/a	n/a	68%	n/a
MO	83%	23	n/a	n/a	n/a	n/a	n/a
NE	79%	29	n/a	n/a	n/a	n/a	n/a
NH	91%	67	n/a	n/a	n/a	90%	n/a
NJ	41%	61	n/a	n/a	n/a	45%	n/a
OR	76%	99	n/a	n/a	74%	77%	n/a
RI	91%	35	n/a	n/a	n/a	n/a	n/a
ТХ	48%	147	12%	2%	n/a	78%	n/a
UT	94%	72	n/a	n/a	n/a	94%	n/a
VA	92%	53	n/a	n/a	n/a	92%	n/a
VT	38%	48	n/a	n/a	n/a	45%	22%
WI	62%	248	n/a	n/a	61%	69%	n/a
Weighted NCI Average	71%	1,255	12%	14%	68%	76%	29%

Table 4. Can make changes to individual budget/services if needed (among those using a self-directed supports option; 'yes' responses only; proxy respondents were allowed for this question)

State	Average Within State	Ν	ICF/IID. Nursing Facility and Other Institutional Settings	Community-based Group Residential Settings	Own Home or Apartment	Parent's or Relative's Home	Foster Care or Host Home
СТ	86%	28	n/a	n/a	n/a	n/a	n/a
FL	93%	57	n/a	n/a	n/a	94%	n/a
н	76%	33	n/a	n/a	n/a	77%	n/a
KS	72%	32	n/a	n/a	n/a	74%	n/a
MI	63%	56	n/a	n/a	n/a	65%	n/a
MN	83%	68	n/a	n/a	n/a	82%	n/a
МО	91%	23	n/a	n/a	n/a	n/a	n/a
NE	79%	28	n/a	n/a	n/a	n/a	n/a
NH	89%	64	n/a	n/a	n/a	90%	n/a
NJ	87%	62	n/a	n/a	n/a	86%	n/a
OR	83%	100	n/a	n/a	84%	82%	n/a
PA	85%	20	n/a	n/a	n/a	n/a	n/a
RI	76%	34	n/a	n/a	n/a	n/a	n/a
ТХ	84%	160	60%	95%	97%	83%	n/a
UT	86%	72	n/a	n/a	n/a	86%	n/a
VA	93%	54	n/a	n/a	n/a	92%	n/a
VT	71%	48	n/a	n/a	n/a	64%	78%
WI	78%	258	n/a	n/a	80%	78%	n/a
Weighted NCI Average	81%	1,274	60%	64%	82%	83%	73%

Table 5. Has enough help deciding how to use their individual budget/services (among those using a self-directed supports option; 'yes' responses only; proxy respondents were allowed for this question)

State	Average Within State	Ν	ICF/IID. Nursing Facility and Other Institutional Settings	Community-based Group Residential Settings	Own Home or Apartment	Parent's or Relative's Home	Foster Care or Host Home
СТ	96%	28	n/a	n/a	n/a	n/a	n/a
FL	100%	57	n/a	n/a	n/a	100%	n/a
HI	91%	32	n/a	n/a	n/a	90%	n/a
KS	87%	31	n/a	n/a	n/a	85%	n/a
MI	89%	56	n/a	n/a	n/a	95%	n/a
MN	92%	69	n/a	n/a	n/a	92%	n/a
MO	91%	23	n/a	n/a	n/a	n/a	n/a
NE	48%	29	n/a	n/a	n/a	n/a	n/a
NH	83%	66	n/a	n/a	n/a	82%	n/a
NJ	72%	61	n/a	n/a	n/a	71%	n/a
OR	82%	103	n/a	n/a	82%	81%	n/a
PA	95%	21	n/a	n/a	n/a	95%	n/a
RI	83%	35	n/a	n/a	n/a	n/a	n/a
ТХ	88%	171	84%	78%	100%	87%	n/a
UT	95%	73	n/a	n/a	n/a	94%	n/a
VA	80%	54	n/a	n/a	n/a	80%	n/a
VT	93%	46	n/a	n/a	n/a	96%	90%
WI	86%	256	n/a	n/a	88%	84%	n/a
Weighted NCI Average	87%	1,287	84%	88%	86%	87%	84%

Table 6. Gets information about how much money is left in budget/services (among those using a self-directed supports option; 'yes' responses only; proxy respondents were allowed for this question)

State	Average Within State	Ν	ICF/IID. Nursing Facility and Other Institutional Settings	Community-based Group Residential Settings	Own Home or Apartment	Parent's or Relative's Home	Foster Care or Host Home
СТ	86%	29	n/a	n/a	n/a	n/a	n/a
FL	98%	57	n/a	n/a	n/a	98%	n/a
HI	82%	34	n/a	n/a	n/a	81%	n/a
KS	73%	26	n/a	n/a	n/a	73%	n/a
MI	62%	55	n/a	n/a	n/a	60%	n/a
MN	91%	70	n/a	n/a	n/a	91%	n/a
MO	95%	21	n/a	n/a	n/a	n/a	n/a
NE	38%	29	n/a	n/a	n/a	n/a	n/a
NH	88%	64	n/a	n/a	n/a	90%	n/a
NJ	56%	57	n/a	n/a	n/a	58%	n/a
OR	41%	96	n/a	n/a	42%	40%	n/a
RI	80%	35	n/a	n/a	n/a	n/a	n/a
ТХ	79%	166	87%	81%	n/a	76%	n/a
UT	96%	71	n/a	n/a	n/a	96%	n/a
VT	71%	49	n/a	n/a	n/a	83%	57%
WI	79%	257	n/a	n/a	71%	83%	n/a
Weighted NCI Average	73%	1,212	87%	74%	66%	76%	78%

Table 7. Information about budget/services is easy to understand (among those using a self-directed supports option and who receive information about how much money is left in budget/services; 'yes' responses only; proxy respondents were allowed for this question)

State	Average Within State	Ν	ICF/IID. Nursing Facility and Other Institutional Settings	Community-based Group Residential Settings	Own Home or Apartment	Parent's or Relative's Home	Foster Care or Host Home
СТ	80%	25	n/a	n/a	n/a	n/a	n/a
FL	84%	56	n/a	n/a	n/a	87%	n/a
HI	79%	28	n/a	n/a	n/a	76%	n/a
KS	55%	20	n/a	n/a	n/a	n/a	n/a
MI	50%	42	n/a	n/a	n/a	56%	n/a
MN	85%	66	n/a	n/a	n/a	84%	n/a
MO	90%	21	n/a	n/a	n/a	n/a	n/a
NH	77%	57	n/a	n/a	n/a	80%	n/a
NJ	78%	36	n/a	n/a	n/a	76%	n/a
OR	86%	42	n/a	n/a	n/a	92%	n/a
RI	66%	32	n/a	n/a	n/a	n/a	n/a
ТХ	79%	138	88%	n/a	n/a	78%	n/a
UT	54%	69	n/a	n/a	n/a	52%	n/a
VT	85%	34	n/a	n/a	n/a	n/a	n/a
WI	70%	232	n/a	n/a	83%	65%	n/a
Weighted NCI Average	74%	990	88%	67%	74%	75%	79%

Table 8. Frequency with which the person gets information about budget/services (among those using a self-directed supports option; proxy respondents were allowed for this question)

State	At Least Every Three Months	About Twice a Year	Once a Year or Less	Ν
СТ	86%	10%	5%	21
FL	98%	2%	0%	55
н	85%	7%	7%	27
MI	79%	5%	16%	38
MN	86%	14%	0%	65
МО	86%	14%	0%	21
NH	96%	2%	2%	53
NJ	63%	13%	25%	32
OR	35%	12%	53%	34
RI	80%	10%	10%	30
ТХ	69%	10%	21%	130
UT	100%	0%	0%	64
VT	72%	9%	19%	32
WI	85%	4%	11%	215
Weighted NCI Average	78%	8%	14%	915