# National Core Indicators®- Intellectual and Developmental Disabilities

2021-22 In-Person Survey (IPS) Report

Appendix B: State Sample Frames



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### **Sampling**

Each state is instructed to attempt to complete surveys with a random sample of individuals over the age of 18 who are receiving at least one publicly funded service besides case management. States must complete surveys with a sample that meets the 95% confidence level and +/- 5% margin of error based on the total eligible population in the state. A sample size of 400 guarantees valid comparisons to be made across states with a 95% confidence level and a margin of error of +/- 5%, no matter how large the service population size. A 95% confidence level and a margin of error of +/-5% is mandatory for each state's sample to be included in this report. Most states sample more than 400 individuals to account for refusals and surveys that may be deemed invalid.

Below are includes detailed sample information regarding populations states included and excluded from their sample.

# Populations included in 2021-22 NCI-IDD In-Person Survey State Sample Frames

#### **Arkansas**

Total sample frame: 4,225

Number of completed interviews: 419

Program(s) included in sample	Funding Source	Sample frame
CES Waiver	HCBS 1915(c) Combo HCBS 1915 (b)	4,225

#### Colorado

Total sample frame: 11,665

Number of completed interviews: 426

Program(s) included in sample	Funding Source	Sample frame
Persons with Developmental Disabilities	HCBS 1915(c)	7,093
Supported Living Services waivers	HCBS 1915(c)	4,572

#### **Connecticut**

Total sample frame: 10,595

Number of completed interviews: 452

Program(s) included in sample	Funding Source	Sample frame
Comprehensive Waiver	HCBS 1915(c)	5,099
Individual and Family Supports Waiver	HCBS 1915(c)	3,435
Employment and Day Supports Waiver	HCBS 1915(c)	2,061

#### **Delaware**

Total sample frame: 3,000

Number of completed interviews: 435

Program(s) included in sample	Funding Source	Sample frame
HCBS 1915(c) Lifespan Waiver OR State Plan OR State Funding	HCBS 1915(c) Lifespan Waiver OR State Plan OR State Funding	3,000

#### Florida

Total Sample Frame: 32,209

Number of completed interviews: 453

Program(s) included in sample	Funding Source	Sample frame
iBudget Waiver and CDC+ Program	HCBS 1915(c) and 1915(j)	32,209

## Georgia

Total Sample Frame: 9,503

Program(s) included in sample	Funding Source	Sample frame
Comprehensive Supports Waiver (COMP)	HCBS 1915(c)	6,405
New Options Waiver (NOW)	HCBS 1915(c)	2,859

State Funded Services (SFS)	State Funds	239
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#### Illinois

Total Sample Frame: 21,919

Number of completed interviews: **405** 

Program(s) included in sample	Funding Source	Sample frame
Adult HCBS Waiver		
<ul> <li>Background information was difficult to ascertain for those who are SDA and not receiving provider services</li> </ul>	HCBS 1915(c)	21,919

#### Indiana

Total Sample Frame: 26,721

Number of completed interviews: 1,078

Program(s) included in sample	Funding Source	Sample frame
Community Integration and Habilitation Waiver (CIH)	HCBS 1915(c)	10,304
Family Supports Waiver (FSW)	HCBS 1915(c)	13,417
Supervised Group Living (SGL)	ICF/IDD	3,000

#### **Kansas**

Total Sample Frame: 8,200

Number of completed interviews: 397

Program(s) included in sample	Funding Source	Sample frame
IDD/DD	HCBS 1915(c)	8,200

## Kentucky

Total Sample Frame: 10,876

Number of completed interviews: 408

Program(s) included in sample	Funding Source	Sample frame
SCL	HCBS 1915(c)	4,601
Michelle P	HCBS 1915(c)	6,275

#### **Massachusetts**

Total Sample Frame: 20,015

Number of completed interviews: 404

Program(s) included in sample	Funding Source	Sample frame
Intensive Supports waiver, community living	HCBS 1915(c) and state funded services	20.015
waiver, adult supports waiver	HCB3 1713(c) and state funded services	20,013

## Michigan

Total Sample Frame: 27,597

Program(s) included in sample	Funding Source	Sample frame
HCBS 1915(c), 1115/1915(i)	HCBS 1915(c), 1115/1915(i)	27,597

#### Minnesota

Total Sample Frame: 22,427

Number of completed interviews: 413

Program(s) included in sample	Funding Source	Sample frame
DD waiver	HCBS 1915(c)	22,427

#### **Missouri**

Total Sample Frame: 15,434

Number of completed interviews: 403

Program(s) included in sample	Funding Source	Sample frame
Entire DD population of adults 18 and older who receive at	HCBS 1915(c), State Plan,	15 424
least one authorized service in addition to support coordination	General Revenue	15,434

## **North Carolina**

Total Sample Frame: 22,114

Number of completed interviews: 466

Program(s) included in sample	Funding Source	Sample frame
ICF-IID (Community Based)	ICF-IID	3,583
Developmental Centers	ICF-IID	875
Innovations Waiver	HCBS 1915(c)	11,742
(b)(3) Services	HCBS 1915(b)	5,130
Medicaid State Plan	State Plan	784

### Nebraska

Total Sample Frame: 4,848

Number of completed interviews: 418

Program(s) included in sample	Funding Source	Sample frame
4154 HCBS Comprehensive Waiver	HCBS 1915(c)	3,753
0394 HCBS Adult Day Waiver	HCBS 1915(c)	754
ICF/ID	ICF/ID	341

## **New Jersey**

Total Sample Frame: 24,330

Program(s) included in sample	Funding Source	Sample frame
Supports Program	HCBS 1115	12,082
Community Care Program	HCBS 1115	12,248

#### Nevada

Total Sample Frame: 2,708

Number of completed interviews: 409

Program(s) included in sample	Funding Source	Sample frame
Nevada's Home and Community-Based Waiver for Individuals with Intellectual and Developmental Disabilities	HCBS 1915(c)	2,708

#### **New York**

Total Sample Frame: 66,600

Number of completed interviews: 1,012

Program(s) included in sample	Funding Source	Sample frame
Enrolled in one service in addition to Care Management	HCBS 1915(c) and other sources, including state funded sources	65,370
Partners Health Plan (PHP), Fully Integrated Duals Advantage – Intellectual and/or Developmental Disabilities (FIDA-IDD)	Partners Health Plan (PHP), Fully Integrated Duals Advantage – Intellectual and/or Developmental Disabilities (FIDA-IDD)	1,230

## Ohio

Total Sample Frame: 51,563

Number of completed interviews: 520

Program(s) included in sample	Funding Source	Sample frame
Home and Community Based Services Waiver and Intermediate Care Facility and county board funded supports	HCBS 1915(c), ICF funding, and county board funded supports	51,563

## Oklahoma

Total Sample Frame: **5,144** 

Program(s) included in sample	Funding Source	Sample frame
In-Home Supports Waiver -Adult	HCBS 1915(c)	1,691
Community Waiver - Non - residential	HCBS 1915(c)	626
Community Waiver - Residential	HCBS 1915(c)	2,313
Homeward Bound	HCBS 1915(c)	514

## Oregon

Total Sample Frame: 18,483

Number of completed interviews: 420

Program(s) included in sample	Funding Source	Sample frame
In HomeDirect Services Only	Waiver for case management and Community First Choice State Plan for in home services	8,284
In-HomeDay Supports Only	Waiver for case management and Community First Choice State Plan for in home services and Day supports	993
In HomeEmployment Services and Day Support	Waiver for case management and employment and Community First Choice State Plan for in home services and Day Supports	536
In Home Employment Services Only	Waiver for case management and employment and Community First Choice State Plan for in home services	1,968
24 Hour Residential Services for Adults	Waiver for case management and Community First Choice State Plan	2,844
Adult Foster Care	Waiver for case management and Community First Choice State Plan	3,057
Supported Living Services for Adults	Waiver for case management and Community First Choice State Plan	725
Stabilization and Crisis Unit	Waiver for case management and Community First Choice State Plan	76

# Pennsylvania

Total Sample Frame: 38,346

Number of completed interviews: 680

Program(s) included in sample	Funding Source	Sample frame
Consolidated Waiver	HCBS 1915(c)	17,938
Person/Family-Directed Supports (P/FDS) Waiver	HCBS 1915(c)	11,699
Community Living Waiver	HCBS 1915(c)	3,621
Base Funding	State Plan	2,892
ICF/ID	ICF/ID	2,196 (private and state-operated)

## **South Carolina**

Total Sample Frame: 19,965

Program(s) included in sample	Funding Source	Sample frame
Day and Employment Services	HCBS 1915(c) and State Funded	6,631
In-home Supports	HCBS 1915(c) and State Funded	9,435
Residential Service	HCBS 1915(c) and State Funded	3,899

#### Utah

Total Sample Frame: 5,382

Number of completed interviews: 504

Program(s) included in sample	Funding Source	Sample frame
Acquired Brain Injury Waiver (ABIW)	HCBS 1915(c)	153
Community Supports Waiver (CSW)	HCBS 1915(c)	5,048
Utah State Developmental Center (USDC)	ICF/ID	181

# Virginia

Total Sample Frame: 15,322

Number of completed interviews: 716

Program(s) included in sample	Funding Source	Sample frame
Building Independence Waiver	HCBS 1915(c)	333
Family & Individual Supports Waiver	HCBS 1915(c)	3,441
Community Living Waiver	HCBS 1915(c)	11,548

#### Wisconsin

Total Sample Frame: 32,906

Program(s) included in sample	Funding Source	Sample frame
Family Care	HCBS 1915(b/c)	22,583
Partnership	1932(a) state plan program including 1915(c) waiver services	917
Include, Respect, I Self-Direct (IRIS)  HCBS 1915(c); enrollees may also self-direct personal care through a 1915(j) state plan amendment		9,406

# Populations excluded from 2021-22 NCI-IDD In-Person Survey State Sample Frames

State	Name of program/waiver excluded from sample	Funding Source (i.e., ICF/ID)	Description of the population served by the excluded program
GA	ICF	ICF	People receiving services in an ICF
IL	SODC and ICF/DD individuals	SODC and ICF/DD funds	Individuals who live at state operated developmental centers or intermediate care facilities  Small number of adults
КУ	ICF	ICF	considered to have the most severe disabilities.
MD	ICFs/IID	Medicaid Funds	Individuals receiving services in ICFs/IID  The Secure Evaluation & Therapeutic Treatment
MD	Secure Evaluation & Therapeutic Treatment (SETT)	State Funds	(SETT) Program provides evaluation and assessment services, as well as active treatment to people with intellectual disabilities and court involvement within a secure and safe environment
МА	Various service codes (email dhiersteiner@hsri.org for more information)		
NV	Individuals receiving only Targeted Case Management (TCM) services	State General Fund, Medicaid	Children and Adults with ID/DD
NV	Individuals residing in institutional settings	Medicaid	Individuals residing in ICF/IDD, skilled nursing or other institutional settings
NV	Individuals receiving TCM and Family Support Services only	State General Fund, Medicaid	Children and Adults with ID/DD
NJ	Developmental Centers	ICF/ID	Individuals living in Developmental Centers.
NV	Partners Health Plan (PHP), Fully Integrated Duals Advantage – Intellectual and/or Developmental Disabilities (FIDA-IDD) will be excluded from the statewide sample. There will be a separate sample for FIDA-	EIDA IDD	Individuals dually enrolled in Medicaid/Medicare who opted into the FIDA
NY	IDD.	FIDA-IDD	managed care program

		Legislatively appropriated	
		state funded dollars and	Children and Adults with
NC	State Funded IDD Services	SSBG funded services	IDD
			Community-based ICF/IID
SC	ICF/IID	ICF/IID	Programs
			Adults with Intellectual and
TN	ICF/IID	State Plan	Developmental Disabilities
			These individuals are from
			the same population as
			described in question 19;
			however, they were asked
			to complete the survey the
	People on the Community		previous year. To avoid
	Supports Waiver or Acquired		participant burnout, we
	Brain Injury Waiver who		avoid asking individuals to
	were included in the		complete the survey two
UT	previous year's sample	1915 (C) Medicaid Waiver	years in a row.
			Intermediate Care Facilities
			for Individuals with
			Intellectual Disability
			(ICF/ID). Provides
			comprehensive and
			individualized health care
			and rehabilitation services
			to individuals to promote their functional status and
VA	Community ICF/ID	ICF/ID	independence.
VA	Community ICF/ID	I ICF/ID	independence.
VA	Nursing Facility with Dx	SNF	
			VA Elderly or Disabled
			w/Consumer Direction
VA	EDCD	1915c	(321.R3.)
			Individuals who
			participated in the 2020-
			2021 survey cycle
			Any individuals identified
			as a "hard no" in any years
			the survey cycles were
			conducted. Hard no is
			defined as a person
			contacted during a survey
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \			cycle and requested to be
WI			on a no call list.